



International Islamic University Islamabad

IIU Research Policy – 2022

Office of Research, Innovation & Commercialization (ORIC)
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CONTENTS

IIU Research Policy – 2022	2
1. Preamble	2
2. Goal.....	2
3. Objectives	2
4. Principles.....	2
5. Key Targets.....	3
6. Policy Instruments	3
7. Implementation Strategy	3
8. Mechanism of Implementation	4

IIU RESEARCH POLICY – 2022

1. Preamble

The transmission of knowledge and conduct of scholarly inquiry are central and complementary functions of the University. They can be carried out effectively only if scholars are guaranteed certain freedoms and accept corresponding responsibilities. The “IIU Research Policy (2022)” follows the vision upon which International Islamic University (IIU) was established in 1985, this document provides a policy framework and a principle code of conduct to promote research and research culture in the university as one of principal functions of IIU is encouragement and promotion of research in accordance with the values, ideals, principles and norms of Islam. The Article 17 (7a) of IIU Ordinance, 1985 provides powers to the Board of Trustees of IIU to formulate or approve principal policies and plans governing the activities of the University.

2. Goal

Create an enabling environment for the conduct of research; strengthen research management and coordination; improve research culture and practice; mobilize and manage funds for quality research and innovations.

3. Objectives

The objective is to promote research at IIU on prescribe standards of responsible and ethical conduct expected from all persons engaged in research at the university. Faculty, students and visitors should in all aspects of their research activity should demonstrate integrity and professionalism, fairness and equity, intellectual honesty, record and publish their methods and results in ways that are open to scrutiny and debate.

4. Principles

- a. Individual scholars should be free to select the subject matter of their research, to seek support from any source for their work, and to form their own findings and conclusions. These findings and conclusions should be available for scrutiny and criticism.
- b. Research techniques should not violate established professional ethics pertaining to the health, safety, privacy, and other personal rights of human beings or to the infliction of injury or pain on animals.

- c. The University should foster an environment conducive to research. Where, because of limited resources, the University cannot support all research demands, it should allocate space, facilities, funds, and other resources for research programs based on the scholarly and educational merits of the proposed research, and not on speculations concerning the political or moral impropriety of the uses which might be made of its results.

5. Key Targets

- a. All efforts shall be made to harness the intellectual potential of faculty, students and visiting research scholars.
- b. Special efforts will be undertaken to pilot test new approaches and innovative ideas and arrangements in science and technology sector, especially those which help to improve access, efficiency, effectiveness and sustainability.
- c. Where these innovative ideas are successful they will be widely disseminated and plans will be made to upscale and replicate them at the national or international level.

6. Policy Instruments

- a. IIU shall initiate an in-house seed grant funding to support research projects.
- b. IIU shall award honoraria to faculty and students against publications in HEC recognized journals to promote research communication.
- c. IIU shall institute Best Researcher Award and Young Researcher Award to encourage intellectual endeavors of researchers in the following fields:
 - i) Science and Technology
 - ii) Social and Management Sciences
 - iii) Arts and Humanities
- d. IIU shall constitute a University Research Review Committee (URRC) as an advisory forum to suggest improvements in the research grant proposal.

7. Implementation Strategy

- a. Office of Research, Innovation and Commercialization (ORIC) will be responsible for implementation of this research policy.
- b. All sorts of accounts of research funds shall be consolidated and made available to ORIC for management of all research related expenses.

- c. All proposals for seeking research funding from IIUI internal grant and/or external grants shall be submitted to ORIC directly and ORIC will send these proposals to University Research Review Committee (URRC) for review, as and when required.
- d. The Principal Investigator (PI) of a research grant proposal shall only seek endorsement from Chairperson/Incharge and Dean, if required by the external funding agency before submission to ORIC for further processing.

8. Mechanism of Implementation

a. ORIC Grant for seed funding (OGSF)

- i) ORIC shall initiate an in-house grant for seed funding.
- ii) Grant worth will be up to PKR 0.5 Million.
- iii) The funding shall be managed by ORIC fund and IIU Research Fund.
- iv) Detailed Terms of Reference (TORs) will be defined by the ORIC considering HEC guidelines for funding programs.
- v) Selection committee and the entire selection process needs to be proposed separately for assessment of these projects.

b. Researcher Awards

To encourage researchers, IIU Best Researcher Award and IIU Young Researcher Award for recognition of research endeavors of the faculty:

Three **Best Researcher Award** every year, one in each field of

- i) IIU Best Researcher Award in Science and Technology
- ii) IIU Best Researcher Award in Social and Management Sciences
- iii) IIU Best Researcher Award in Arts and Humanities

Three **Young Researcher Award** every year to researchers below the age of 40 years

- i) IIU Young Researcher Award in Science and Technology
- ii) IIU Young Researcher Award in Social and Management Sciences
- iii) IIU Young Researcher Award in Arts and Humanities

A faculty member, if awarded in one category of research award, will not be considered in the second category of award in the same year.

c. Award of honoraria against research publications

- i) All faculty members will be entitled to get award for the research publication(s), book(s), literary work(s), and creative art, if its affiliation with IIUI is mentioned.
- ii) All publications will be categorized under the following three subject streams:

- i. Science and Technology
- ii. Social and Management Sciences
- iii. Arts and Humanities

iii) Categories of the paper, book, literary work, and creative art for payment of honorarium will be determined in accordance with norms of the above-mentioned subject streams.

iv) The honorarium for research papers shall be paid as per following rate in journals of following categories.

Research publication in **W** category journal @ Rs.20,000

Research publication in **X** category journal @ Rs.15,000

Research publication in **Y** category journal @ Rs.10,000

v) In case of multi-authored paper, book, literary work, and creative art, share of the award shall be distributed amongst authors as per following description:

S. No.	Author Status	Award %
1.	Solo author from IIUI platform	100%
2.	Principal /Corresponding Author from IIUI Platform One Co-Author from IIUI Platform	50% 50%
3.	Principal /Corresponding Author from IIUI Platform 1 st Co-Author from IIUI Platform 2 nd Co-Author from IIUI Platform	50% 25% 25%
4.	Principal/Corresponding Author from IIUI Platform 1 st Co-Author from IIUI Platform 2 nd Co-Author from IIUI Platform 3 rd Co-Author from IIUI Platform	50% 16.6% 16.6% 16.6%
5.	No award for 4 th and onward co-authors	
6.	Same distribution scheme shall be followed for all IIUI Co-author(s) if principal author is not of IIUI.	

vi) For award of honorarium in recognition of authorship of books, for equivalence of books, the IIU will use the same criteria of SENSE as developed by HEC annexed to this document.

vii) IIU will also adopt the criteria for equivalence of books as per Standard Operating Procedure (SOP) of Books Evaluation for Grant of Equivalence to Research Publications, as recognized by HEC.

viii) For literary/art works, a panel of three reviewers, consisting of renowned literary/art critiques will assess the quality of the literary work/art to be recognized by the

university for granting equivalence for honorarium. TORs of review panel are prepared separately and annexed with this policy.

- ix) Detailed TORs having the evaluation matrix, key performance indicators (KPIs) and criteria for each KPI for selection of the awardees will be defined by the ORIC office considering HEC guidelines for similar research awards.

Acknowledging the Original Literary/Creative Works in the Field of Language, Literature and Art

Rationale

Important and original literary/creative works of any particular language and the original pieces of Art are acknowledged worldwide as the most significant element in the field of Language, Literature and Art. In many renowned universities, celebrated writers, poets and artists are hired as Professors and their works are considered equivalent, if not more important, to the research papers. The reason is that such creative works add to the existing body of literature and become a subject to be researched upon. Research in the field of literature and art cannot proceed if original works are not produced. The Universities that patronize such works, get a credit of it and take pride in it. These original and creative works should be acknowledged duly by the HEC Pakistan as well as all Pakistani universities.

Proposal

It is suggested that original creative works in the form of Books or pieces of Art should be given due weightage and may be acknowledged equivalent to the research papers.

However, well defined measures need to be taken to ensure the quality of the work and to differentiate between the level and standard of the works.

For the purpose, it is proposed to notify a panel of creative writers and artists in each respective field. This panel would consist of the luminaries of the respective field who have been acknowledged nationally or internationally.

Each creative work or piece of art produced and presented from the platform of the IIUI, will be sent to three relevant experts anonymously. Based on the review and opinion of these three experts, the literary work or piece of art may be acknowledged equivalent to the W, X or Y category for the sake of honorarium.

Scope

Only those works will be considered which are relevant to any field of study available in the IIUI. For example, currently, literary works of only Arabic, English, Persian and Urdu will be considered until a new field is introduced. Similarly, an original piece of Islamic Art will be eligible to be considered for the purpose.



HIGHER EDUCATION COMMISSION, PAKISTAN

Facilitating Institutes of Higher Learning to serve as an Engine of Growth for the Socio-Economic Development of Pakistan

Standard Operating Procedure (SOP) for Recognition, Upgradation & Funding of Journals And Equivalency of Book with Research Article.

Social Sciences Section has been established with the responsibilities of identifying realities of diverse social and human issues which Pakistan is facing. It would initiate plans for social transformation besides building knowledge and expertise on key social and cultural issues. It will also encourage and assist Social Scientists and Researchers in carrying out meaningful research for national benefit.

Social Sciences Section (Academics Division)

2) HEC POLICY ON BOOKS EVALUATION FOR GRANT OF EQUIVALENCE TO RESEARCH PUBLICATIONS

- ✓ *Books published after peer review by international publishers of repute from the academically advanced world and books published by national publishers of international repute, recognized by HEC can be considered equivalent to a maximum of TWO research publications in either of the X, Y or Z categories.*
- ✓ *Only research oriented books are entertained whereas Text Book, compilation, guide, edited book, translation or any thesis either of M.Phil. or Ph.D. is not acceptable for the purpose of equivalence as per policy.*

2.1 SOP FOR BOOKS EVALUATION

- Aspirant Authors meeting criteria will have to apply for equivalence of their research-based books on prescribed HEC proforma (**Annexure-III**).
- HEC will initially evaluate the application as per its policy.
- Meeting of Subcommittee will be convened as and when needed.
- Only **eligible** applications received in sufficient number in a particular subject will be presented before the respective Subcommittee for careful consideration and necessary recommendation in line with the HEC policy.
- **The recommendation of Subcommittee will be considered final.**
- For a book that is received in any subject/theme that doesn't fall within the domain of Subcommittees, the book will be got reviewed/evaluated by the identified subject Expert(s) after the approval of HEC competent Authority.
- Once the Subcommittee recommendation is approved by the HEC Competent Authority, Author(s) will be notified accordingly.

PARAMETERS FOR Evaluation of Books:

The evaluation shall not be carried out on face-value of the Publisher. The following aspects along with others, must be considered for evaluation:

- Contents of the book/research quality
- Formatting style, referencing, footnotes
- Volume / Editions of the Book & Quantity published
- Publisher repute & publishing policy (i.e. peer review/blind review)
- Paper & printing quality
- **Impact on society etc.**

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NOTIFICATION

Additional Agenda item No. 3

WORKING PAPERS PERTAINING TO THE POLICY
FRAME WORKS FOR VARIOUS FACETS OF HIGHER
STUDIES, RESEARCH AND ENTERPRISE.

The Academic Council in its 81st meeting held on 30th March, 2022 (2nd session) on the recommendations of the Prof. Dr. Ahmed Shuja Syed, Vice President (R&E) and in the wake of IIU's Strategic Plan (2022-26) has decided as under:-

i. The Rules/Regulations and Procedures for MS and Ph.D Programs of IIU-2022

The house recommended/approved the Rules/Regulations and Procedures for MS and Ph.D Programs of IIU-2022 as recommended by the Board of Advanced Studies and Research (BASR) in its meeting held on February 3, 2022 with appreciation.

i. The IIU Research Policy-2022

The house appreciated the proposal and recommended the same without any observation

ii. The Policy for Innovation & Intellectual Property Rights (IP Policy)-2022

The house appreciated the proposal and recommended the same without any observation.

iii. The IU Consultancy Policy-2022

The house **approved** the IIU Consultancy Policy-2022 with the with the recommendations that in the light of the observations raised by the academic council on "**Section 5**" at **page-260** of the additional agenda of the academic council the under reference para of the policy shall be rephrased by as suggested by Dr. Muhammad Irfan, Dean, FBAS

Recommendations of the Academic Council are notified for information and implementation by all concerned.

(Dr. Muhammad Muntaz)
Director (Acad & Exams)

Distribution

All concerned

CC:

Assistant /Director (Meetings) To report the matter before next meeting of the BOG